

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ZHOU et al.

Atty. Ref.:

839-1013

Serial No.

09/878,327

Group:

2661

Filed:

June 12, 2001

Examiner:

TBA

For:

ACCOUNT MANAGEMENT SYSTEM

\* \* \* \* \* \* \* \* \* \*

Assistant Commissioner for Patents Washington, DC 20231

Sir:

## EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY AND EXCLUSIVE PROSECUTION HEREAFTER BY ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73

The undersigned being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints Nixon & Vanderhye, P.C., 1100 North Glebe Rd., 8th Floor, Arlington, Virginia 22201-4714, telephone number (703) 816-4000, facsimile number (703) 816-4100, and the following attorneys thereof (of the same address) individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393;

Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; James Mitchell, 25602, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; James E. McGinness, 33260, GE Wilmington Facility, P.O. Box 780, Mail Code A11, Wilmington, NC 28401; Ronald E. Myrick, 26315, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; Jay Chaskin, 24030, General Electric Co., 3135 Easton Turnpike (W3D62), Fairfield, CT 06431; Ernest G. Cusick, 39476, General Electric Co., 1 River Road, Bldg. 37, 5<sup>th</sup> Floor, Schenectady, NY 12345; Henry J. Policinski, 26621, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; Bernard Snyder, 24843, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; and Catherine J. Winter, 38364, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001.

I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

## Certificate Under 37 C.F.R. §3.73(b)

I hereby certify that General Electric Company of 1 River Road, Schenectady, NY 12345 is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

I have reviewed the documents in the chain of title of the patent application identified above, and to the best of my knowledge and belief, title is in the aforesaid assignee for which I am empowered to act in this matter.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

GENERAL ELECTRIC COMPANY

, 100/ By:

\_\_\_\_

Title:

Ernest G. Cusicl

Patent Counsel

## <u>ASSIGNMENT</u>

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Rui ZHOU of 126 D Eastwood Drive, Clifton Park, New York 12065; Yu 481 S. Broadway WANG of 28 Spruce Street, Clifton Park, New York 12065; Hong DAI of 15 Hillcrest Village Apt. 24. Lawrence, ma 01843 East, Apt. B3, Schenectady, New York 12309; and, George GHANIME of 573 Victory Circle, Ballston Spa, New York 12020-2308 respectively, sells and assigns to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in ACCOUNT MANAGEMENT SYSTEM invented by us, as described in the application for United States Patent being filed herewith; which was filed on June 12, 2001 as Serial Number: 09/878,327 and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

08/30 /2001	3 hor	
Date	Rui ZHOU	
Witnessed by:  Name: FARSHAD GHASRIPOOR	Date: 8/30/2001	
Name: FARSHAD GHASRIPOOR  Name: Ernest FRancer 31.	Date: 8.30,0)	
STATE OF New York)		
ss):		
COUNTY OF Schenectady)		
This 30 <sup>TH</sup> day of AUGUST, 2001, before me personally came the above-named, Rui ZHOU, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.		
	James L. Lufstenn	
<del>-</del>	Notary Public	
My Commission Expires:	AUGUST 15, 2002	

JAMES L. LUFFMAN

Notary Public in the State of New York

Qualified in Schenectady County

Commission Expires August 15, 20 0 2

01LU4941016

8/31/01	y m	
Date	Yu WANG	
Witnessed by:		
Name: Blanche M. du Mondin	Date:	
Name:	Date:	
STATE OF New York)		
ss):		
COUNTY OF Schenectady)		
This day of, 2001, before me personally came the above-named, Yu Wang, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.		
(	Blanche M. du Monlin Notary Public March 06, 2002	
<del>-</del>	Notary Public	
My Commission Expires:	March 06, 2002	

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BLANCHE M. DUMOULIN
Notary Public in the State of New York
Qualified in Albany Co.
01DU6037998
My Commission Expires Mar. 6, 20

9/5/2001	Hory Cai	
Date	Hong DAI	
Witnessed by:		
Name: Ululi Chi	Date: 915/01	
Name: Jun Hodge	Date: 9/5/01	
STATE OF New York)		
ss):		
COUNTY OF Schenectady)		
This 5 m day of, 2001, before me personally came the above-named, Hong Dai, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.		
-	Notary Public	
My Commission Expires: _	January 17 2006	

9-19-01	Lange -	
Date	George GHANIME	
Witnessed by:		
Name: Lara Dukai	Date: 9/19/01	
Name: Enst 2 Currol	Date: $\frac{9/19/01}{9/19/01}$	
STATE OF New York)		
ss):		
COUNTY OF Schenectady)		
This day of, 2001, before me personally came the above-named, George Ghanime, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.		
_	Notory Public	
My Commission Expires:	Notary Public	